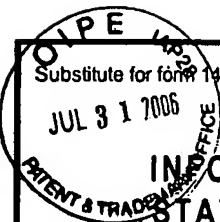


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Complete if Known

Application No.	10/800487
Filing Date:	March 15, 2004
First Named Inventor	McSWIGGEN, James
Art Unit	1645
Examiner Name	WOLLENBERGER, Louis V.
Attorney Docket Number	04-218 (400.148)

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
/L.W./	A	US-2004/0220129	11-2004	Reich et al.	
/L.W./	B	US-2002/0151693	10-2002	Breaker et al.	

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ²
/L.W./	1.	Caplen, "RNAi as a gene therapy approach," <i>Expert Opin. Biol. Ther.</i> , 3(4):575-586 (2003)	
	2.	Chiang et al., "Antisense Oligonucleotides Inhibit Intercellular Adhesion Molecule 1 Expression by Two Distinct Mechanisms," <i>J. Biol. Chem.</i> 27:18162-18171 (1991)	
	3.	Elbashir et al., "Functional Anatomy of siRNAs for Mediating Efficient RNAi in <i>Drosophila Melanogaster</i> Embryo Lysate," <i>The EMBO Journal</i> 20:6877-6888 (2001)	
	4.	Hammond et al. "Post-Transcriptional Gene Silencing by Double-Stranded RNA," <i>Nature</i> , Vol. 2, 110-119 (2001)	
	5.	Parrish, "Functional Anatomy of a dsRNA Trigger: Differential Requirement for the Two Trigger Strands in RNA Interference," <i>Molecular Cell</i> 6:1077-1087 (2000)	
	6.	Schmidt et al., "Base and sugar requirements for RNA cleavage of essential nucleoside residues in internal loop B of the hairpin ribozyme: implications for secondary structure," <i>Nucleic Acids Research</i> 24:573-581 (1996)	

Examiner
Signature

/Louis Wollenberger/

Date
Considered

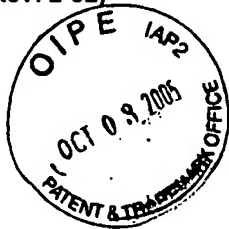
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FORM PTO-1449 (Rev. 2-32)	U.S. Department of Commerce Patent and Trademark Office	Atty. Docket No.	Serial No.
04-218 (400.148)		10/800,487	
Applicant: McSwiggen et al.			
Filing Date:	Group:		
March 15, 2004	1635		



INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use several sheets if necessary)

U.S. PATENT APPLICATION DOCUMENTS

Examiner Initial		Document Number	Filing Date	Name	Class	Subclass	Publication Date if Appropriate
/L.W./	*	09/301,511	04/28/99	Beigleman et al.			
	*	09/740,332	12/18/00	Blatt et al.			
	*	09/800,594	03/06/01	Usman and McSwiggen			
	*	10/151,116	05/17/02	Matulic-Adamic et al.			
	*	10/201,394	07/22/02	Vargeese et al.			
	*	10/417,012	04/16/03	McSwiggen et al.			
	*	10/422,704	04/24/03	McSwiggen et al.			
	*	10/427,160	04/30/03	Vargeese et al.			
	*	10/444,853	05/23/03	McSwiggen et al.			
	*	10/652,791	08/29/03	McSwiggen et al.			
	*	10/693,059	10/23/03	McSwiggen et al.			
	*	10/720,448	11/24/03	McSwiggen et al.			
	*	10/727,780	12/03/03	Vaish et al.			
	*	10/757,803	01/14/04	McSwiggen et al.			
	*	10/780,447	02/13/04	Vargees et al.			
	*	60/082,404	04/20/98	Thompson et al.			
✓	*	60/292,217	05/18/01	Adamic et al.			

EXAMINER	/Louis Wollenberger/	DATE CONSIDERED	05/21/2007
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary)		Applicant: McSwiggen et al.	
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JW	*	60/306,883	07/20/01	Vargeese et al.			
	*	60/311,865	08/13/01	Vargeese et al.			
	*	60/358,580	02/20/02	Beigelman et al.			
	*	60/362,016	03/06/02	Matulic-Adamic et al.			
	*	60/363,124	03/11/02	Beigelman et al.			
	*	60/386,782	06/06/02	Beigelman et al.			
	*	60/402,996	08/13/02	Usman et al.			
	*	60/406,784	08/29/02	Beigelman et al.			
	*	60/408,378	09/05/02	Beigelman et al.			
	*	60/409,293	09/09/02	Beigelman et al.			
	*	60/440,129	01/15/03	Beigelman et al.			
	*	60/543,480	02/10/04	Jadhavi et al.			
	*	US 2001/0007666	01/05/99	Hoffman et al.			07/12/01
	*	US 2002/0130430	12/29/00	Caster			09/19/02
	*	US 2002/0137210	08/09/01	Churikov			09/26/02
	*	US 2003/0077829	04/30/02	MacLachlan			04/24/03
	*	US 2003/0190635	07/25/02	McSwiggen et al.			10/09/03
	*	US 2003/0206887	09/16/02	Morrissey et al.			11/06/03
	*	US 2004/0037780	08/23/02	Parsons et al.			02/26/04
	*	US 2004/0220129	01/16/04	Reich et al.			11/04/04

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10/800,487

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Applicant:

McSwiggen et al.

Filing Date:

March 15, 2004

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1635

U.S. PATENT DOCUMENTS

Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date if Appropriate
Sw	*	4,501,729	02/26/85	Boucher et al.			
	*	4,987,071	01/22/91	Cech et al.			
	*	5,108,921	04/28/92	Low et al.			
	*	5,138,045	08/11/92	Vargeese et al.			
	*	5,214,136	05/25/93	Lin et al.			
	*	5,334,711	08/02/94	Sproat			
	*	5,416,016	05/16/95	Low et al.			
	*	5,589,332	12/31/96	Shih et al.			
	*	5,624,803	04/29/97	Noonberg et al.			
	*	5,627,053	05/06/97	Usman et al.			
	*	5,631,359	05/20/97	Chowrira et al.			
	*	5,631,360	05/20/97	Usman et al.			
	*	5,633,133	05/27/97	Long et al.			
	*	5,670,633	09/23/97	Cook et al.			
	*	5,672,695	09/30/97	Eckstein et al.			

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<i>Sw</i>	*	5,716,824	02/10/98	Beigelman et al.			
	*	5,741,679	04/21/98	George et al.			
	*	5,792,847	08/11/98	Buhr et al.			
	*	5,804,683	09/08/98	Usman et al.			
	*	5,814,620	09/29/98	Robinson et al.			
	*	5,831,071	11/03/98	Usman et al.			
	*	5,834,186	11/10/98	George et al.			
	*	5,849,902	12/15/98	Arrow et al.			
	*	5,854,038	12/29/98	Sullenger et al.			
	*	5,871,914	02/16/99	Nathan et al.			
	*	5,889,136	03/30/99	Scaringe et al.			
	*	5,898,031	04/27/99	Crooke			
	*	5,902,880	05/11/99	Thompson et al.			
	*	5,968,909	10/19/99	Agrawal et al.			
	*	5,989,912	11/23/99	Arrow et al.			
	*	5,998,203	12/07/99	Adamic et al.			
	*	6,001,311	12/14/99	Brennan			
	*	6,005,087	12/21/99	Cook et al.			
	*	6,008,400	12/28/99	Scaringe et al.			
	*	6,054,576	04/25/00	Bellon et al.			

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT		Applicant: McSwiggen et al.	
(Use several sheets if necessary)		Filing Date: March 15, 2004	Group: 1635

/L.W./	*	6,107,094	08/22/00	Crooke			
	*	6,111,086	08/29/00	Scaringe et al.			
	*	6,117,657	09/12/00	Usman et al.			
	*	6,146,886	11/14/00	Thomson			
	*	6,153,737	11/28/00	Manoharan et al.			
	*	6,162,909	12/19/00	Bellon et al.			
	*	6,168,778	01/02/01	Janjic et al.			
	*	6,180,613	01/30/01	Kaplitt et al.			
	*	6,235,310	05/22/01	Wang et al.			
	*	6,235,886	05/22/01	Manoharan et al.			
	*	6,248,878	06/19/01	Matulic-Adamic et al.			
	*	6,300,074	10/09/01	Gold			
	*	6,303,773	10/16/01	Bellon et al.			
	*	6,335,434	01/01/02	Guzaev et al.			
	*	6,350,934	02/26/02	Zwick et al.			
	*	6,353,098	03/05/02	Usman et al.			
	*	6,362,323	03/26/02	Usman et al.			
	*	6,395,492	05/28/02	Manoharan et al.			
	*	6,395,713	05/28/02	Beigelman et al.			
↓	*	6,437,117	08/20/02	Usman et al.			

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/L.W./	*	6,447,796	09/10/02	Vook et al.			
	*	6,469,158	10/22/02	Usman et al.			
	*	6,476,205	11/05/02	Buhr et al.			
	*	6,506,559	01/14/03	Fire et al.			
	*	6,528,631	03/04/03	Cook et al.			
	*	6,565,885	05/20/03	Tarara et al.			
	*	6,582,728	06/24/03	Platz et al.			
	*	6,586,524	07/01/03	Sagara			
	*	6,592,904	07/15/03	Platz et al.			

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		Document Number	Date	Country	Class	Subclass	Translation	
							Yes	No
/L.W./	*	2001240375 (Old Application No. 40375/01)	03/16/01	AU (Graham et al.)				
	*	2,359,180	08/03/00	CA (Kreutzer et al.)				
	*	0 360 257	02/28/90	EP (Hampel et al.)				
	1.	1325955	07/09/03	EP (Klippel-Giese et al.)				
	*	1144623 B1	01/29/02	EP (Kreutzer et al.)				

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McSwiggen et al.

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1635

/L.W./	2.	08208687	08/1996	JP (Hotoda et al.)	Abstract Only			
	*	88/09810	12/15/88	WO (Tullis et al.)				
	*	89/02439	03/23/89	WO (Arnold et al.)				
	*	90/12096	10/18/90	WO (Low et al.)				
	*	90/14090	11/29/90	WO (Gillespie et al.)				
	*	91/03162	03/21/91	WO (Rossi et al.)				
	*	92/07065	04/30/92	WO (Eckstein et al.)				
	*	93/15187	08/05/93	WO (Usman et al.)				
	*	93/23569	11/25/93	WO (Draper et al.)				
	*	94/01550	01/20/94	WO (Agrawal et al.)				
	*	94/02595	02/03/94	WO (Sullivan et al.)				
	3.	95/04142	02/09/95	WO (Robinson)				
	*	95/06731	03/09/95	WO (Usman et al.)				
	*	95/11304	04/27/95	WO (Usman et al.)				
	*	95/11910	05/04/95	WO (Dudycz et al.)				
	*	96/10390	04/11/96	WO (Ansell et al.)				
	*	96/10391	04/11/96	WO (Choi et al.)				
	*	96/10392	04/11/96	WO (Holland et al.)				
	4	96/18736	06/20/96	WO (Beigelman et al.)				
↓	*	96/22689	08/01/96	WO (Pyle et al.)				

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/L.W./	5.	96/40162	12/19/96	WO (Nyce et al.)				
	*	97/26270	07/24/97	WO (Beigelman et al.)				
	*	98/13526	04/02/98	WO (Woolf et al.)				
	*	98/27104	06/25/98	WO (Breaker et al.)				
	*	98/28317	07/02/98	WO (Matulic-Adamic et al.)				
	*	98/43993	10/08/98	WO (Breaker et al.)				
	*	98/58058	12/23/98	WO (Ludwig & Sproat)				
	*	99/04819	02/04/99	WO (Klimuk)				
	*	99/05094	02/04/99	WO (Beigelman et al.)				
	*	99/07409	02/18/99	WO (Deschamps de Paillette et al.)				
	*	99/14226	03/25/99	WO (Wengel et al.)				
	*	99/16871	04/08/99	WO (Eckstein et al.)				
	*	99/17120	04/08/99	WO (Davis and Bishop)				
	*	99/29842	06/17/99	WO (Sullenger et al.)				
	*	99/31262	06/24/99	WO (Barry et al.)				
	*	99/32619	07/01/99	WO (Fire et al.)				
	*	99/49029	09/30/99	WO (Graham et al.)				
	*	99/53050	10/21/99	WO (Waterhouse et al.)				
✓	*	99/54459	10/28/99	WO (Thompson et al.)				

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/L.W./	*	99/55857	11/04/99	WO (Beigelman et al.)				
	*	99/61631	12/02/99	WO (Heifetz et al.)				
	*	99/66063	12/23/99	WO (Manoharan et al.)				
	*	00/01846	01/13/00	WO (Plaetinck et al.)				
	6.	00/03683	01/27/00	WO (Boey et al.)				
	105.	00/21560	04/20/00	WO (Alitalo et al.)				
	*	00/24931	05/04/00	WO (Nathan and Ellington)				
	*	00/26226	05/11/00	WO (Breaker et al.)				
	*	00/44895	08/03/00	WO (Kreutzer et al.)				
	*	00/44914	08/03/00	WO (Li et al.)				
	*	00/49035	08/24/00	WO (Sheen)				
	*	00/53722	09/14/00	WO (O'Hare and Normand)				
	*	00/63364	10/26/00	WO (Pachuk et al.)				
	*	00/66604	11/09/00	WO (Wengel et al.)				
	*	01/04313	01/18/01	WO (Satishchandran et al.)				
	*	01/29058	04/26/01	WO (Mello et al.)				
	*	01/36646	05/25/01	WO (Zernicka-Goetz et al.)				
	*	01/38551	05/31/01	WO (Grossniklaus)				
↓	*	01/42443	06/14/01	WO (Churikov et al.)				

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/L.W./	*	01/49844	07/12/01	WO (Driscoll et al.)				
	*	01/53475	07/26/01	WO (Cogoni et al.)				
	*	01/68836	09/20/01	WO (Beach et al.)				
	*	01/70944	09/27/01	WO (Honer et al.)				
	*	01/70949	09/27/01	WO (Graham et al.)				
	*	01/72774	10/04/01	WO (Deak et al.)				
	*	01/75164	10/11/01	WO (Tuschl et al.)				
	*	01/92513	12/06/01	WO (Arndt et al.)				
	*	01/96584	12/20/01	WO (Mushegian et al.)				
	7.	01/097850	12/27/01	WO (Siemeister et al.)				
	*	02/055692	01/09/02	WO (Kreutzer et al.)				
	*	02/055693	01/09/02	WO (Kreutzer et al.)				
	8.	02/087541	11/07/02	WO (MacLachlan)				
	*	02/094185 (PCT/US02/15876)	11/28/02	WO (Beigelman et al.)				
	9	02/096927	12/05/02	WO (Escobedo et al.)				
	10.	02/07747	01/31/02	WO (King)				
	11.	02/10378	02/07/02	WO (Cowser et al.)				
	*	02/22636	03/21/02	WO (Bennett et al.)				
	*	02/38805	05/16/02	WO (Echeverri et al.)				
↓	*	02/44321	06/06/02	WO (Tuschl et al.)				

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/L.W./	*	03/024420	03/27/03	WO (Ahlheim et al.)				
	*	03/046185	06/05/03	WO (Wang et al.)				
	*	03/047518	06/12/03	WO (Wang et al.)				
	*	03/064625	08/07/03	WO (Woolf et al.)				
	*	03/064626	08/07/03	WO (Woolf et al.)				
	12.	03/068797	08/21/03	WO (Rossi et al.)				
	13.	03/070887	08/28/03	WO (McSwiggen et al.)				
	14.	03/070896	08/28/03	WO (McSwiggen et al.)				
	15.	03/070910	08/28/03	WO (McSwiggen et al.)				
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54.		GenBank Accession No. NM_002162
	*	Genbank Accession No. NM_002592.1
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	*	Genbank Accession No. NM_003376
	*	Genbank Accession No. NM_004283
	*	Genbank Accession No. NM_004448
	*	Genbank Accession No. NM_005228
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			Applicant: McSwiggen et al.	
			Filing Date: March 15, 2004	Group: 1635

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